

**NEW UTILITY PATENT APPLICATION TRANSMITTAL**

22783  
(Only for new nonprovisional applications under 37 CFR  
1.53(b))

**DOCKET NO:**

SAR100063000  
Total Pages in this Submission:  
30

022304  
U.S. PTO

19270 U.S. PTO  
10/785563

022304

**TO THE COMMISSIONER FOR PATENTS**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 USC 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled:

**MORTISE LOCK WITH LIGHTED TRIM PLATE SIGN**

and invented by:

**Darren C. Eller and Jay Krajewski**

**If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:**

- Continuation
- Divisional
- Continuation-in Part (CIP)

of prior application serial no: \_\_\_\_\_ Filed: \_\_\_\_\_

**Enclosed are:**

**APPLICATION ELEMENTS**

1.  Filing fee as calculated and transmitted as described below.
2.  Specification having 11 total pages, including 3 pages of claims and 1 pages Abstract
3.  Drawing(s) including 3 sheets (when necessary as prescribed by 37 USC 113)
  - a.  Formal
  - b.  Informal
4.  Oath or Declaration.
  - a.  Newly executed (original or copy)  Unexecuted.
  - b.  [Note Box 5 Below] Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional applications only)

**DELETION OF INVENTORS**

Signed statement in Box 22 below, forming part of this transmittal, deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).

c.  With Power of Attorney  Without Power of Attorney

<p><b>NEW UTILITY PATENT APPLICATION TRANSMITTAL</b>            (Only for new nonprovisional applications under 37 CFR 1.53(b))</p>	<p><b>DOCKET NO:</b>            SAR100063000  <b>Total Pages in this Submission:</b>            30</p>
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### APPLICATION ELEMENTS (Continued)

5.  Incorporation By Reference (*This box shall be considered checked whenever Box 4b is checked*)  
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6.  Computer Program in Microfiche. (*Appendix*)

7.  Nucleotide and/or Amino Acid Sequence Submission. (*if applicable, all must be included*)  
 a.  Paper Copy.  
 b.  Computer Readable Copy. (*Identical to computer copy*)  
 c.  Statement Verifying Identical Paper and Computer Readable Copy.

### Accompanying Application Parts

8.  Assignment Papers.  
 The prior application is assigned of record to \_\_\_\_\_  
 An assignment of the invention to Sargent Manufacturing Company is attached. (cover sheet & documents(s))

9.  37 CFR 3.73(b) Statement. (*when there is an assignee*)

10.  English Translation Document. (*if applicable*)

11.  Information disclosure Statement/PTO-1449.  Copies of IDS Citations

12.  Preliminary Amendment.

13.  Acknowledgment postcard.

14.  Small Entity Statement  Statement filed in prior application, Status still proper and desired

15.  Certified Copy of Priority Document(s). (*if foreign priority is claimed*)

16.  Certificate of Mailing:  
 First Class  Express Mail (Specify Label No) EV 332851268 US

<p><b>NEW UTILITY PATENT APPLICATION TRANSMITTAL</b></p> <p>(Only for new nonprovisional applications under 37 CFR 1.53(b))</p>	<p><b>DOCKET NO:</b> SAR100063000</p> <p><b>Total Pages in this Submission:</b> 30</p>
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17.  Form EFS for Filing

18.  Non Publication Request Under 35 U.S.C. §122(b)(2)(B)(i)

19.  Additional Enclosures. (please identify below):

**Priority**

20.  Priority - 35 USC 119

Priority of application serial no. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 USC 119.

The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_\_ on \_\_\_\_\_.

The certified copy will follow.

21.  Priority - 35 USC 120

Amend the specification by inserting before the first line the following sentence:  
 "This is a  
 continuation  
 divisional  
 of copending application(s)  
 Serial Number \_\_\_\_\_ filed on \_\_\_\_\_."  
 International Application No. \_\_\_\_\_ and which designated the U.S."

**Inventorship Statement**

22.  Inventorship

With respect to the prior copending U.S. application from which this application claims benefit under 35 USC 120, the inventor(s) in this application is (are)

the same.  
 less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted:

## NEW UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

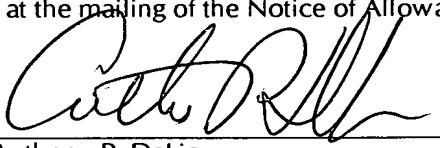
DOCKET NO:

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Total Pages in this Submission:  
30Fee Calculation and Transmittal  
CLAIMS AS FILED

FOR	NO. FILED	NO. ALLOWED	NO. EXTRA	RATE	FEE
Total Claims	14	— 20 =	0	x \$18.00	\$ 0
Indep. Claims	2	— 03 =		x \$86.00	\$ 0
Multiple Dependent Claims (check if applicable)			<input type="checkbox"/>		\$
				<b>BASIC FILING FEE</b>	<b>\$ 770.00</b>
Other Fees (specify purpose)				<b>FILING FEE CALCULATION</b>	<b>\$ 770.00</b>
				<b>SMALL ENTITY x 50%</b> (when Box 14 is checked)	<b>\$</b>

A check in the amount of \$770.00 to cover the filing fee is enclosed.  
 The Commissioner is hereby authorized to charge and credit Deposit Account No. 04-0566 as described below. A duplicate copy of this sheet is enclosed.  
 Charge the amount of \$ \_\_\_\_\_ as filing fee.  
 Charge or credit any under or overpayment.  
 Charge any additional filing fees required under 37 CFR 1.16 and 1.17.  
 Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

Dated: February 23, 2004
  
 Anthony P. DeLio  
 Reg. No. 18,729

**DELIO & PETERSON, LLC**  
 121 Whitney Avenue  
 New Haven, CT 06510  
 (203) 787-0595

## Certification Under 37 CFR 1.10

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

Name: Marian R. Capelli Date February 23, 2004 Signature: Marian R. Capelli

DOCKET: SAR100063000

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR: Darren C. Eller et al.

TITLE: "MORTISE LOCK WITH LIGHTED  
TRIM PLATE SIGN"

DATE: February 23, 2004

**NONPUBLICATION REQUEST UNDER 35 U.S.C. § 122(b)(2)(B)(i)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,



\_\_\_\_\_  
Anthony P. DeLio  
Reg. No. 18,729

Dated: February 23, 2004

DeLIO & PETERSON, LLC  
121 Whitney Avenue  
New Haven, CT 06510-1241  
(203)787-0595

**CERTIFICATE OF MAILING UNDER 37 CFR 1.10**

I hereby certify that on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to Mail Stop New Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, as "Express Mail Post Office to Addressee" Mailing Label No. EV 332851268 US.

Marian R. Capelli  
Name of Person Mailing Paper

Marian R. Capelli  
Signature

February 23, 2004  
Date